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Study Guide
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~~How to Pass the Series
79 Exam~~ **Series 79
Exam - Free Review**

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Session | Knopman Marks

FINRA Series EXAM
Study Tips - Art of
Answering Questions

What is the Series 79
exam? (Greico.com)

FINRA Licensing

Presentation: Series 79

and 63 Exam **The truth**

about FINRA Exams

(Series 7, Series 63,

Series 65, Series 66,

etc) ~~FINRA Series~~

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~~Exam Study Tips - Time
Management Series 7
Training for The FINRA
Exam Episode 1
Common Stocks How to
Spend the 24 Hours
Before Your FINRA
Exam How to Pass the
Securities Industry
Essential (SIE)
Examination FINRA
Exam Study Tips: The
Art of Answering
Questions - Part 2 ~~New~~~~

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~~Series 63 Module 1~~

*Options - Long vs. Short
(SIE + Series*

4/7/9/65/66) Series 7

Options FAQ SIE Exam

Prep: 20 SIE Exam

Sample Questions

Series 65 Series 66

Module 1 Option Basics
and Fundamentals

Yield to Maturity for

Bonds Question

Analysis (SIE + Series

6/7/65/66)~~How I Passed~~

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~~the Series 7: Tips to
Note and Traps to
Avoid Series 24 Study
Tips from Our
Instructors The Origin
of War | James 4-5
Exempt vs. Excluded in
4 minutes!! (Series
63/65/66) How to Pass
the Series 24 Exam
FINRA Exam Study
Tips: The Art of
Answering Questions -
Part 3 FINRA Series 65~~

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Exam Introduction

*Introduction to the
Securities Industry
Essentials (SIE) Exam*

Series 7 Exam

Overview \u0026amp; What
to Expect on Test Day

Series 3 Study Guide

Forward Contracts

How to Pass the Series
57 Exam

series 63 exam

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Series 79 Exam Study
Guide with Practice
Questions From this
data collection
conducted by FINRA,
four major functions
were found to be the
responsibilities of entry-
level investment
bankers. Under each
function, major tasks
were identified that
were required to be
performed.

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Series 79 topics. The Series 79 exam broadly covers the following topics: Data collection (required SEC filings and other documents) Various types of securities (debt, equity, options, derivatives) Economics and capital markets; Financial

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Analysis; Valuation;
M&A Process and Deal
Structure

Series 79 Exam: What
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typically requires 50–75 hours of total prep. New investment bankers should expect to commit around 75 total hours (3–5 weeks while working full-time).

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Questions From this
data collection

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conducted by FINRA, four major functions were found to be the responsibilities of entry-level investment bankers. Under each function, major tasks were identified that were required to be performed.

Series 79 Exam Study
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contains charts, graphs
and practice questions,
as well as a glossary of
key terms.

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The Series 79 Content
Outline provides a
comprehensive guide to
the range of topics
covered on the exam, as
well as the depth of

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Study Guide
knowledge required.

The outline is comprised of the three major job functions of an investment banking representative. The table below lists the allocation of exam items for each major job function.

Series 79 – Investment
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Exam - FINRA

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